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A pseudo-kinetic model to simulate phase changes in gas hydrate bearing sediments

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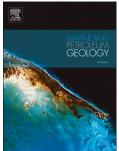
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CRediT author statement

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29 Abstract

Modeling of the phase transitions anticipated in gas hydrate bearing sediments (GHBS) is critical for a 30 31 proper understanding of time-dependent changes in states and volumes (e.g. the production of methane 32 from this type of soils). We propose a new pseudo-kinetic approach to simulate the typical phase changes 33 anticipated in GHBS, using published experimental results involving gas hydrate dissociation that are the 34 basis of a widely used kinetic model. The proposed pseudo-kinetic model is formulated in the pressure-35 temperature (P-T) plane and assumes a rate of gas hydrate dissociation (or formation) proportional to the 36 distance between the current state and the phase boundary. The model consists of only one parameter and is simple to implement in numerical simulators. A similar concept is used to model ice formation/thawing 37 38 phenomena, but based on the ice/liquid-water phase boundary. We implemented the pseudo-kinetic model 39 in a fully coupled thermo-hydro-chemo-mechanical (THCM) finite element code and validated it against 40 experimental results performed on the dissociation of synthetic gas hydrate. We also evaluated the 41 pseudo-kinetic model using synthetic cases covering several scenarios associated with gas hydrate 42 formation/dissociation and ice formation/thawing. The model successfully reproduced the gas production 43 test from a natural GHBS core from Korea (scaled gas venting experiment over 14hours), and also the formation of gas hydrate and ice in permafrost in Alaska (over $2x10^6$ years). We show the versatility of 44 45 the proposed approach by applying it to model the different phase transitions typically encounter in GHBS. The simple formulation, easy implementation in numerical simulator, and reduced number of 46 47 parameters (only one per phase change) make this model an attractive option for simulating phase 48 transformations in problems involving GHBS.

49 *Keywords:* gas hydrate; hydrate dissociation; hydrate formation; ice formation/melting; phase 50 transitions; numerical modeling; validation.

51

52 1. Introduction

53 Gas hydrate bearing sediments (GHBS) represent the largest global reserve of hydrocarbons (Sloan, 1998; 54 Soga et al., 2006; Makogon et al., 2007; Rutqvist & Moridis, 2007; Boswell, 2009). Gas hydrate is an ice-55 like solid compound formed of water molecules clustered around methane molecules that is stable under 56 high pressure and low temperature conditions, commonly found in permafrost settings and submarine sediments (Milkov & Sassen, 2002; Sloan, 2003; Makogon et al., 2007; Rutqvist & Moridis, 2007; Sloan 57 & Koh, 2008; Makogon, 2010; Collett et al., 2011). Thermodynamic perturbations that lead to the 58 59 dissociation of GHBS release large amounts of methane gas and free liquid water (Englezos, 1993; Sloan & Koh, 2008; Haligva et al., 2010; Collett et al., 2015), which in turn trigger significant changes in fluid 60 pressure and in sediment effective stress (Sánchez et al., 2017). Furthermore, gas hydrate dissociation is 61 an endothermic process that tends to absorb heat from the surroundings, while gas hydrate formation is 62 63 exothermic. To properly simulate such strongly coupled thermo-hydro-chemo-mechanical (THCM) processes involving GHBS, it is critical to have a formal approach able to account for the multiphysical 64 65 interactions triggered by gas hydrate dissociation and/or formation.

Equilibrium and kinetic reaction models are the two primary approaches commonly used to simulate 66 67 processes of gas hydrate formation and dissociation. Equilibrium models assume that gas hydrate phase 68 changes take place instantaneously upon perturbations in thermodynamic conditions that bring GHBS out of the stability zone. In contrast, kinetic models consider that gas hydrate does not immediately dissociate 69 70 upon changes in pressure-temperature (P-T) conditions, but that phase changes take place over time. 71 Kowalsky & Moridis (2007) conducted numerical simulations involving full-scale GHBS reservoirs 72 undergoing gas hydrate dissociation via depressurization and/or heating using both, equilibrium and 73 kinetic reaction models. Results were comparable when considering long-term results, i.e. under steady-74 state conditions; however, transient analyses showed significant differences between the two types of 75 model. Moreover, when simulating short-term problems, such as dissociation tests involving gas hydrate 76 bearing cores, equilibrium models are not able to provide reliable predictions (Gamwo & Liu, 2010).

77 Kim et al. (1987) conducted a series of methane hydrate dissociation tests under controlled conditions in 78 the laboratory, investigating depressurization at different (constant) temperatures and the effect of 79 pressure gradient on the rate of dissociation. These results formed the basis of a kinetic reaction model for 80 methane hydrate dissociation that is a function of the kinetic constant, the reaction surface area, and the 81 fugacity of methane under local pressure and temperature (Kim et al., 1987). The kinetic constant depends 82 on the activation energy, local temperature, and the intrinsic kinetic constant, which should be measured 83 for gas hydrate dissociation (Clarke & Bishnoi, 2000, 2001) and formation (Englezos et al., 1987a,b). The kinetic reaction model has been widely used and calibrated in the literature (Jamaludin et al., 1989; 84

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85 Rempel & Buffett 1997, 1998; Ahmadi et al., 2004; Pooladi-Darvish, 2004; Kneafsey et al., 2005, 2007; 86 Nazridoust & Ahmadi, 2007; Kwon et al., 2008; Fang, 2009; Gamwo & Liu, 2010; Liang et al., 2010; Kimoto et al., 2007, 2010; Ruan et al., 2012; Cheng et al., 2013; Moridis 2014; Gupta et al., 2015; Chen 87 et al., 2017; Sun et al., 2018; De La Fuente et al., 2019). Despite being a popular approach, the kinetic 88 89 reaction model has some drawbacks. It depends on a large number of parameters and some are not easy to 90 directly obtain. For example, the determination of the reaction surface area (i.e. the interface area between 91 gas hydrate and surrounding phases) remains contentious, as discussed in Sun & Mohanty (2006). 92 Various equations have been suggested for estimating this parameter (Yousif et al., 1991; Masuda et al., 93 2002; Moridis et al., 2005; Sun & Mohanty, 2006), but there is no consensus as to the most appropriate 94 formulation. The computational cost is another disadvantage of this model (Kowalsky & Moridis, 2007). 95 Yin et al. (2016) discuss uncertainties related to the mechanisms behind the kinetic behavior of gas 96 hydrate, which result in inaccurate determination of activation energy and the intrinsic kinetic constant.

97 The objective of this paper is to propose a simple alternative approach to the numerical simulation of 98 methane hydrate phase changes in GHBS. Our new approach, hereafter referred to as a pseudo-kinetic 99 model, revisits the experimental observations of Kim et al. (1987) and is formulated in the P-T plane. The 100 model involves one parameter only that controls the rate of gas hydrate dissociation and is easy to 101 calibrate from experimental data. We show that our model is able to simulate the time dependent behavior 102 of methane hydrate during its dissociation and formation, and is also applicable to liquid-water to ice 103 phase transitions. We first present some basic relationships and concepts related to phase transformations 104 in GHBS, and outline the kinetic model of Kim et al. (1987). We describe the full mathematical 105 formulation of the new pseudo-kinetic model together with its implementation in a fully coupled THCM 106 finite element program recently proposed to model problems involving GHBS (Sánchez et al., 2018). We 107 validate the model against the experimental results of Kim et al. (1987). We then evaluate the model against a series of different cases involving methane hydrate dissociation/formation and ice 108 109 formation/thawing. Our findings support the suitability of our simpler pseudo-kinetic model to tackle the 110 multiple phase transformations typically present in short- and long-term analyses involving GHBS.

111 2. Gas Hydrate Bearing Sediments Phases and Phase Changes

112 GHBS are composed of five main phases (Figure 1): solid (*s*); liquid (*l*); gas (*g*); hydrate (*h*); and ice (*i*). 113 As hydrate and ice are solid compounds that (depending on thermodynamic conditions) can disappear 114 during the analysis, volumes related to these two phases, together with the V_g and V_l , comprise the volume

- of voids (i.e. $V_v = \Sigma V_{\beta}$, where β : ℓ , g, h, i). The sum of the volume of voids plus the volume of solid (i.e.
- 116 $V_{total} = V_v + V_s$ yields the total volume V_{total} of the sediment. Based on these definitions, the total porosity
- 117 can be calculated as:

118
$$\phi = \frac{1 - V_s}{V_{total}} = \frac{V_v}{V_{total}}$$
(1)

119 The volume fractions relate to four potential phases, which occupy the volume of voids, defined as 120 $S_{\beta} = V_{\beta}/V_{\nu}$ and subjected to the restriction below:

121
$$S_i + S_g + S_h + S_i = 1$$
 (2)

Furthermore, the effective pore space (V_v^*) is defined as the portion of void space occupied only by the fluid phases (i.e. *l* and *g*, where $V_v^* = V_l + V_g$). Effective saturations, which relate to the liquid $(S_l^* = V_l/V_v^*)$ and gas $(S_g^* = V_g/V_v^*)$ phases, can also be defined.

Three main species make up the phases of GHBS (Figure 1b): mineral; water (*w*); and methane (*m*). Mineral is the only component of the solid phase. Water is the main component of the liquid phase, the only component of the ice phase, is present in the hydrate phase (i.e. in an ice-like structure), and can be found in the gas phase (as water vapor). Methane is the main component of the gas phase, is also present in the liquid phase (as a dissolved gas), and in the hydrate phase (i.e. as an ice-like compound).

Gas hydrate formation/dissociation and ice formation/thawing are the most relevant phase transformations that control the behavior of GHBS. In the following, we discuss the phase boundaries in P-T space delimiting the stable states of the different phases typically encountered in methane hydrate. We then present the proposed pseudo-kinetic approach and its capacity to deal with unstable conditions and the corresponding phase transitions.

135 2.1. Phase boundaries

The equation below expresses the methane hydrate phase boundary, which defines the hydrate stabilityzone in the *P*-*T* space (Sloan & Koh, 2008):

138
$$P_{eq-h} = e^{(40.234 - \frac{8860}{T_{eq-h} - \alpha_s I_s})}$$
 (3)

139 where $P_{eq\cdot h}$ [kPa] and $T_{eq\cdot h}$ [K] are the equilibrium pressure and temperature, respectively. Water salinity 140 also affects the gas hydrate phase boundary (e.g. Kamath & Godbole, 1987). Equation (3) considers this 141 effect both through the water salinity I_s (expressed in terms of the salt concentration, in weight), and the 142 parameter α_s (which corresponds to the slope of the temperature-salinity curve). Based on Equation (3), 143 the green solid line in Figure 2 represents the methane hydrate phase boundary in the case of pure water. 144 The ice/liquid-water phase boundary can also be written in terms of pressure and temperature (Wagner &145 Kretzschmar, 2008):

146
$$P_{eq-i} = 13.0(273.16 - T_{eq-i})$$
 (4)

147 where $P_{eq\cdot i}$ [MPa] and $T_{eq\cdot i}$ [K] use the definitions above, for the case of methane hydrate (i.e. $P_{eq\cdot h}$ and 148 $T_{eq\cdot h}$) but in relation to the ice phase boundary.

- 149 According to Figure 2, four regions emerge when the methane hydrate stability (solid line) and the 150 ice/liquid-water (dashed line) phase boundaries are superimposed on the P-T space. The ice phase is 151 stable on the left side of ice/liquid-water phase boundary. Therefore, ice transforms into liquid in Zone A, 152 whereas ice forms by freezing of liquid water in Zones C and D. Likewise, methane hydrate is stable in Zones B and D, located above the corresponding phase boundary. If during the analysis the P-T path is 153 such that it brings methane hydrate out of the stability regions (i.e. Zones A or C), it will be in an unstable 154 155 condition with a tendency to dissociate into gas and liquid. Note that the liquid phase is also unstable in Zone C and therefore, after hydrate dissociation, will transform into ice. In Zone B, the coexistence of 156 both liquid and gas phases results in hydrate formation until one vanishes. A P-T path that moves from 157 Zones A to D may lead to the presence of both liquid and gas phases in the hydrate and ice stability zones. 158 159 Depending on the rate of gas hydrate formation and ice freezing, a portion of liquid is consumed with the 160 available gas phase to form hydrate and the remainder will freeze. Similarly, if both, ice and gas phases 161 exist in Zone D and a variation in the P-T path causes a shift to Zone B, the liquid phase, which resulted from melting in the unstable ice phase, is consumed with the gas phase to form hydrate. 162
- 163 Thus, any stimulus that places a phase in the unstable zone (e.g. the occurrence of hydrate below its phase 164 boundary or the existence of liquid on the left side of ice/liquid-water phase boundary) will result in a 165 phase transition.
- 166 2.2. *Kinetic model of Kim et al. (1987)*
- 167 Kim et al. (1987) proposed a kinetic model that considers the rate of gas hydrate dissociation inherently as168 a function of pressure, temperature, and surface area of gas hydrate, as follows:

169
$$-\frac{dn_h}{dt} = k_d A_S \left(f_e - f \right)$$
(5)

where n_h indicates the total moles of methane contained in the methane hydrate; A_s is the methane hydrate surface area; (f_e-f) is the driving force defined as the difference between the fugacity of methane at the equilibrium pressure and that of methane at the solid surface (i.e. assumed as the fugacity of methane in the bulk gas phase) both at the corresponding temperature; and k_d is the kinetic constant written as an Arrhenius-type equation:

175
$$k_d = k_{d0} \exp\left(-\frac{\Delta E_a}{RT}\right) \tag{6}$$

where k_{d0} , ΔE_a , and R are the intrinsic kinetic constant, the activation energy, and the gas constant, respectively. Equation (5) requires the surface reaction area, and different models have been proposed to estimate it (e.g. Yousif et al., 1991; Masuda et al., 2002; Moridis et al., 2005; Sun & Mohanty, 2006). These models generally involve additional parameters and the inclusion of S_h in the calculation of the surface reaction area. Numerical codes are generally formulated in terms of pressures and saturations, therefore additional calculations are usually necessary to obtain the fugacity.

182 3. Proposed Pseudo-Kinetic Model

183 We revisited the experimental tests reported in Kim et al. (1987) to investigate the effect of temperature, 184 fluid pressure changes, and current P-T condition, on the rate of hydrate dissociation, with the aim of 185 proposing a simpler model to simulate methane hydrate dissociation. Kim et al. (1987) performed a set of experiments where they injected ultra-high pure methane into a container with 300cm³ of double distilled 186 and de-ionized water at favorable pressure and temperature to form 9cm³ of methane hydrate (i.e. $S_h \sim$ 187 0.03). They reported the time required to achieve (full) hydrate dissociation in several experiments where 188 the specimens were subjected to different pressure gradients, applied at different constant temperatures. 189 190 Figure 3a illustrates these results for two different temperatures subjected to three different pressure 191 gradients. The gas hydrate was depressurized from a pressure slightly above the corresponding 192 equilibrium value up to different target values (i.e. shown by circle, square, and triangle symbols, in a 193 decreasing manner, respectively). Figure 3b presents the corresponding times to achieve the full hydrate 194 dissociation. In the second set of experiments, the depressurization and associated dissociation of two 195 samples was induced at two constant temperatures, 279.2K (Series_3) and 283.3K (Series_4). In both 196 cases, the pressure decreased from a value slightly above the corresponding equilibrium pressure until it 197 reached the target final pressure around 2.69MPa (Figure 4a). Figure 4b presents the results of tracking 198 the time evolution of hydrate concentration during dissociation, in terms of hydrate saturation.

199 Our pseudo-kinetic model for GHBS assumes that the rate of gas hydrate dissociation depends on the

- 200 distance between the phase boundary and the current (unstable) *P*-*T* state (induced by changes in pressure,
- and/or temperature, and/or water chemistry), and that phase transformation becomes faster as this distance
- 202 increases. This approach is consistent with the dissociation experiments reported by Kim et al. (1987),
- 203 which show that the further the applied pressure from the phase boundary (i.e. larger pressure gradients),

the faster the same amount of methane hydrate dissociates, as shown in Figure 3 (Series_1 vs Series_2).

Moreover, according to Figure 4, the rate of methane hydrate dissociation is lower in test Series_3 than in Series_4 (in which the *P*-*T* state is further from the phase boundary). In this context, we propose that the rate of methane hydrate dissociation, Γ_h (Sec⁻¹), is given by:

$$208 \qquad \Gamma_h = \frac{1 - q_{hd}^{\delta_h}}{t_1} \tag{7}$$

where t_I is the unit of time, q_{hd} is a model parameter (where $0 < q_{hd} < 1$) calibrated from gas hydrate dissociation tests (see Section 5.1); and $\underline{\delta}_h$ is a measure of the distance between the current *P*-*T* state and the phase boundary, calculated as:

212
$$\delta_{h} = \sqrt{\left[\delta_{T}\left(T - T_{eq}\right)\right]^{2} + \left[\delta_{P}\left(P - P_{eq}\right)\right]^{2}}$$
(8)

where δ_T (K⁻¹) and δ_P (MPa⁻¹) are scaling parameters (i.e. equal to 1K⁻¹ and 1MPa⁻¹, respectively). It is 213 214 apparent that δ_h is the non-dimensional expression of the actual chord on the phase boundary ($\underline{\delta}$, Figure 5) 215 associated with an unstable P-T state. For example, consider a case in which a thermodynamic change in the sediment brings methane hydrate to a point P-T in the Zone A, where it is unstable. The associated 216 217 P_{eq-h} and T_{eq-h} related to the new P-T condition can be determined from the methane hydrate phase boundary (Equation 3). From Equations (8) and (7), we obtain the rate of gas hydrate dissociation. It is 218 219 evident that as the distance increases between the phase diagram and the P-T point (which defines the 220 current state), the cord increases and consequently methane hydrate dissociates faster. A similar approach is followed for modeling gas hydrate formation, using the same constant (i.e. $q_{hd} = q_{hf} = q_h$). However the 221 222 proposed approach allows us to consider different rates for formation and dissociation by adopting different parameters for each phase transformation process. 223

In similar fashion, the rate of ice/liquid-water phase transition Γ_i can be obtained from:

225
$$\Gamma_i = \frac{1 - q_i^{\delta_i}}{t_1} \tag{9}$$

where q_i is a model parameter (where $0 < q_i < 1$) that can be calibrated from ice thawing/formation experiments; and $\underline{\delta}_i$ is like $\underline{\delta}_h$, which is calculated similar to Equation (8) but in terms of $P_{eq\cdot i}$ and $T_{eq\cdot l}$ (i.e. related to the ice/liquid-water phase boundary, calculated from Equation 4). To illustrate how the proposed model works, in the following sections we explain in more detail cases related to gas hydrate dissociation/formation and ice formation/thawing.

231 *3.1. Gas hydrate dissociation*

Consider an initial gas hydrate saturation (S_h^i) that is brought outside the stability zone by a perturbation (i.e. Zones A and C on the *P*-*T* space). Hydrate saturation reduces during dissociation and we assume that the amount relates to the distance to the phase boundary (estimated from Equation 8). The rate of decreases in gas hydrate saturation, s_h^i (Sec⁻¹) can be calculated from Equation (7), such that:

$$236 \qquad S_h = -\Gamma_h \tag{10}$$

237 The updated gas hydrate saturation S_h^{i+1} can be obtained as follows:

$$238 \qquad S_h^{i+1} = S_h^i - \Gamma_h dt$$

where the superscript (*i*) and (*i*+1) represent the previous and current time-steps, respectively, the subscript indicates the phase, and dt is the time-step interval (i.e. from *i* to *i*+1).

(11)

The liquid and gas phases share the effective void space of the GHBS. The distribution between them is dictated by the capillary pressure (i.e. $P_c = P_g - P_l$) through the capillary pressure (or water retention) curve of the sediment. Different capillary pressure curves have been proposed. For example, if the van Genuchten (1978) model is chosen to estimate the portion of the effective void space that is occupied by the liquid phase, the following expression may be used:

246
$$S_{\ell}^{*} = \frac{S_{\ell}}{S_{\ell} + S_{g}} = \left[1 + \left(\frac{P_{c}}{P_{o}}\right)^{\frac{1}{1-m}}\right]^{-m}$$
 (12)

where P_o is a model parameter associated with the breakthrough gas pressure, and *m* is a parameter that controls the imbibition rate (typically 0.05 < m < 0.4). More recently, an updated Brooks and Corey (1964) capillary pressure model examines the presence of gas hydrate in the pore space (Gupta et al., 250 2015):

251
$$S_{\ell}^{*} = \frac{S_{\ell}}{S_{\ell} + S_{g}} = \left[\frac{P_{c}}{P_{o}f_{Sh}f_{\phi}}\right]^{-m_{bc}}$$
(13)

where m_{bc} is a sediment parameter, f_{sh} and f_{ϕ} represent the scaling parameters that depend on hydrate saturation (Clement et al., 1996; Rockhold et al., 2002) and porosity (Civan, 2000), respectively, as follows:

255
$$f_{sh} = (1 - S_h)^{\frac{C_1 m_{bc} - 1}{C_1 m_{bc}}}$$
(14)

256
$$f_{\phi} = \frac{\phi_0}{\phi} \left(\frac{1 - \phi}{1 - \phi_0} \right)^{C_2}$$
(15)

257 where ϕ_0 is the reference porosity; C_1 and C_2 are model parameters.

Regardless of the adopted capillary pressure model (i.e. Equations 12, or 13, or any alternative), the updated S_l and S_g accounting for hydrate dissociation are derived as follows;

260
$$S_l = S_l^* \left[1 - \left(S_h + S_i \right) \right]$$
 (16)
261 $S_g = \left(1 - S_l^* \right) \left[1 - \left(S_h + S_i \right) \right]$ (17)

where $[1-(S_h+S_i)]$ defines the effective void volume. Note that Equations (16) and (17) correspond to the case of hydrate dissociation lying in Zone A. If during hydrate dissociation, the *P*-*T* state is in Zone C, the water released during this process will form ice, since liquid water is unstable in this zone. Therefore, the calculated values in Equation (16) and (17) are not the final values for liquid and gas saturations, because the phase transitions based on the ice/liquid-water phase boundary need to be considered, as detailed below (Section 3.3).

268 *3.2. Gas hydrate formation*

269 Methane hydrate is stable in Zones B and D (Figure 2). Furthermore, if both, methane gas and liquid water are available under the *P*-*T* conditions that prevail in Zone B, methane hydrate will form. Hydrate 270 271 formation leads to a decrease of the partial void volume occupied by fluid phases (i.e. l and g). There are 272 two possible cases for this phase transformation, with hydrate formation controlled by either, excess 273 water, or excess methane. In the first case, for an existent mass of methane, the water available is higher 274 than (or equal to) the required mass to form hydrate; in the second case (i.e. excess methane), the 275 available mass of methane is higher than that required to from hydrate with the available water in the pore 276 space. The variable δ' defined below is used to assess whether the current condition corresponds to a case 277 with excess methane or excess water:

278
$$\begin{cases} \frac{\rho_l S_l^i}{\rho_g S_g^i} \ge \left(\delta' = \frac{\alpha}{1 - \alpha}\right) \to excess \quad water \\ \frac{\rho_l S_l^i}{\rho_g S_g^i} < \left(\delta' = \frac{\alpha}{1 - \alpha}\right) \to excess \quad methane \end{cases}$$
(18)

where ρ_g and ρ_l are the gas and liquid phase densities, respectively; and *a* is the water mass fraction in the gas hydrate solid compound. In the case of methane with structure-I, *a*=0.866. 281 Therefore, for the excess methane case, the phase saturations can be updated according to:

282
$$S_{h}^{i+1} = S_{h}^{i} + \Gamma_{h} \left(\frac{\rho_{l}}{\alpha \rho_{h}}\right) dt$$
(19)

283 where ρ_h stands for hydrate phase density and $\Gamma_h[\rho_l/(\alpha\rho_h)]$ corresponds to the rate of gas hydrate formation

284 (i.e. \dot{S}_h) for the case of phase change under excess methane.

285 For the case of excess water, a similar procedure is followed:

286
$$S_h^{i+1} = S_h^i + \Gamma_h \left(\frac{\rho_g}{(1-\alpha)\rho_h}\right) dt$$
(20)

where $\Gamma_h[\rho_g/([1-\alpha]\rho_h)]$ corresponds to the rate of gas hydrate formation (i.e. \dot{S}_h) for the case of a phase change under excess water. Furthermore, liquid and gas saturations are updated, as discussed, using Equations (16) and (17). If the *P*-*T* path is located in Zone D, the updated liquid saturation is also subject to ice formation.

291 3.3. Ice formation

Liquid water under *P-T* conditions prevailing in Zones C or D freezes. The corresponding ice saturation
can be updated according to:

294
$$S_i^{i+1} = S_i^i + \Gamma_i \left(\frac{\rho_i}{\rho_i}\right) dt$$
(21)

295 where $\Gamma_i[\rho_1/\rho_i]$ represents the rate of ice formation (i.e. S_i).

296 *3.4. Ice thawing*

Under the *P-T* conditions that prevail in Zones A and B, ice melts and leads to an increment in the liquid
phase. Therefore, the ice saturation is updated as follows:

299
$$S_i^{i+1} = S_i^i - \Gamma_i dt$$
 (22)

300 where Γ_i represents the rate of ice thawing (i.e. S_i). After considering the variation in S_i , the effective 301 void volume is updated and the liquid and gas saturations are updated using Equations (16) and (17). We 302 assume that all water freezes under freezing conditions; however, the presence of unfrozen water in frozen sediments (e.g. Taber, 1929) can be easily included in the formulation. In all cases discussed
 above, a minimum (residual) saturation associated with each of the different phases can be defined.

305 4. Pseudo-Kinetic Model Implementation in Multiphysical Finite Element Framework

306 The pseudo-kinetic model discussed above was implemented in the finite element program 307 CODE BRIGHT (Olivella et al., 1996), recently upgraded by Sánchez et al. (2018) to deal with coupled 308 THCM processes involving GHBS. To describe the behavior of GHBS, we consider a set of equations: 309 balance equations (with the associated main unknowns being liquid and gas pressures, temperatures, and 310 displacements); constitutive equations (with the corresponding dependent variables including heat and phases fluxes, partial phase saturations, porosity, and stresses); equilibrium restrictions, and phase 311 312 transformation equations. The key THCM processes anticipated in GHBS are: i) methane and water flow 313 driven by advective and non-advective flows; ii) heat transfer via conduction and phase advection; iii) 314 heat of phase transformation (i.e. methane hydrate dissociation/formation and ice thawing/formation); iv) 315 deformable sediment. Below we present a brief summary of the main components of the adopted formulation, full details can be found in Sánchez et al. (2018). 316

317 4.1. Balance equations

The gas and liquid pressures, porosity, temperature, and sediment displacements are the main unknowns adopted, and solved by methane mass, water mass, mineral mass, internal energy, and momentum balance equations, respectively.

321 Methane mass balance equation:

$$\frac{\partial}{\partial t} \underbrace{\left\{ \left[\theta_{g}^{m} S_{g} + \theta_{\ell}^{m} S_{\ell} + (1 - \alpha) \rho_{h} S_{h} \right] \phi \right\}}_{\text{mass methane per unit volume}} + \nabla \underbrace{\left[\mathbf{j}_{g}^{m} + \mathbf{j}_{l}^{m} + (1 - \alpha) \rho_{h} S_{h} \phi \mathbf{v} \right]}_{\text{flux methane in gas; liquid; and hydrate}} = f_{e}^{m}$$

$$(23)$$

where θ_{e}^{m} and θ_{l}^{m} are the mass fraction of methane per unit volume of gas and liquid phases, respectively; 322 323 j_l^m and j_s^m are the motion of methane in the liquid and gas phases with respect to the fixed reference 324 system (which are obtained as the sum of non-advective and the advective fluxes); velocity v of the solid phase relative to the fixed reference frame; and f_e^m is the external sink/source of methane per unit volume. 325 The first term (left hand side) considers the mass exchange of methane during the relevant phase 326 327 transitions (i.e. hydrate formation/dissociation for the case of methane). Moreover, we assume that the hydrate phase moves with the solid particles (the last term on the left-hand side). The proposed 328 329 framework is also able to account for the non-advective diffusive transport of species in the phases (i.e. w 330 in g, and m in l) (Sánchez et al., 2018).

331 Water mass balance equation:

332 The water mass balance is derived in a similar manner as follows:

$$\frac{\partial}{\partial t} \underbrace{\left\{ \underbrace{\left[\theta_{g}^{w} S_{g} + \theta_{\ell}^{w} S_{\ell} + \alpha \rho_{h} S_{h} + \rho_{i} S_{i} \right] \phi}_{\text{mass water per unit volume}} + \nabla \underbrace{\left[\mathbf{j}_{g}^{w} + \mathbf{j}_{l}^{w} + \alpha \rho_{h} S_{h} \phi \mathbf{v} + \rho_{i} S_{i} \phi \mathbf{v} \right]}_{\text{flux water in gas; liquid; hydrate; and ice}} = f_{e}^{w}$$
(24)

Q^rO

(25)

- 333 where superscript w indicates the water species and ρ_i stands for ice phase density.
- 334 *Mineral mass balance equation:*
- 335 Minerals only occur as solid particles. The mass balance equation follows:

$$\frac{\partial}{\partial t} \underbrace{\left[\rho_{s}\left(1-\phi\right)\right]}_{\substack{\text{mass min eral}\\\text{per unit volume}}} + \nabla \cdot \underbrace{\left[\rho_{s}\left(1-\phi\right)\mathbf{v}\right]}_{\substack{\text{min eral in solid}}} = 0$$

- 336 where ρ_s is the density of the solid particles.
- 337 Internal energy balance equation:

$$\frac{\partial}{\partial t} \underbrace{\left\{ \left[e_{s} \rho_{s} \left(1 - \phi \right) \right] + \left(e_{\ell} \rho_{\ell} S_{\ell} + e_{g} \rho_{g} S_{g} + e_{h} \rho_{h} S_{h} + e_{i} \rho_{i} S_{i} \right) \phi \right\}}_{\text{energy per unit volume of the hydrate bearing sediment}} + \nabla \left[\underbrace{\mathbf{i}_{c} + \left(\mathbf{j}_{El} + \mathbf{j}_{Eg} + \mathbf{j}_{Eh} + \mathbf{j}_{Ei} + \mathbf{j}_{Es} \right)}_{\text{heat conduction+heat transport in phases } \ell, g, h, i, s} \right] = \mathbf{f}^{E}$$
(26)

where e_{β} is the specific internal energy per unit mass of each phase (listed in Table 1); i_c is the flux associated with the heat conduction through GHBS; $j_{E\beta}$ is the total heat transport related to the phases (β) movement (including advective and non-advective fluid fluxes relative to the mineral skeleton plus the motion of whole sediment with respect to a fixed reference system); f^E is the energy supply per unit volume of GHBS (Sánchez et al., 2018).

We assume thermal equilibrium amongst the phases. Energy consumption or liberation associated with gas hydrate formation/dissociation and ice formation/fusion are taken into consideration by the corresponding latent heats or changes in enthalpy. Furthermore, the balance equation inherently captures energy changes during endothermic or exothermic processes through specific internal energies and the corresponding changes in volume fractions.

349 Momentum balance equation

$$\nabla . \boldsymbol{\sigma}_t + \mathbf{b} = 0 \tag{27}$$

350 where σ_t is the total stress tensor and *b* is the body forces vector. We have assumed the absence of inertial 351 forces (i.e. quasi-static problems).

352 4.2. Constitutive equations

Constitutive equations allow us to relate unknowns to dependable variables and to rewrite the equilibrium equations as a function of the main unknowns. Likewise, the constitutive equations capture coupling among the various phenomena incorporated in the formulation.

The proposed framework considers simple yet robust constitutive laws. For example, we assume that the Fourier's law describes heat fluxes,

$$\mathbf{i}_{c} = -\lambda_{hbs} \nabla T$$

358 where λ_{hbs} is the thermal conductivity of the GHBS. A non-linear volume average model is selected to 359 track the evolution of λ_{hbs} during the simulation,

$$\lambda_{\rm hbs} = \left[\left(1 - \phi \right) \lambda_{\rm s}^{\beta'} + \phi \left(S_{\rm h} \lambda_{\rm h}^{\beta'} + S_{\rm i} \lambda_{\rm i}^{\beta'} + S_{\rm g} \lambda_{\rm g}^{\beta'} + S_{\ell} \lambda_{\ell}^{\beta'} \right) \right]^{\frac{1}{\beta'}}$$
(29)

where λ_{β} is the thermal conductivity of each phase derived (i.e. $\lambda_l = 0.58$, $\lambda_g = 0.01$, $\lambda_i = 2.1$, $\lambda_h = 0.5$, $\lambda_s = 5.0 \text{Wm}^{-1} \text{K}^{-1}$; Sánchez & Santamarina, 2016). This law reduces to the parallel and series models when $\beta'=1$ and $\beta'=-1$, respectively. As reported in Santamaria & Ruppel (2008), an adequate prediction for different conditions is obtained with $\beta'\approx-0.2$.

364 We also assume that the generalized form of Darcy's law governs advective fluid fluxes:

$$\mathbf{q}_{\beta} = -\mathbf{K}_{\beta} \left(\nabla \mathbf{P}_{\beta} - \boldsymbol{\rho}_{\beta} \mathbf{g} \right); \qquad \beta = \ell, \mathbf{g}$$
(30)

where **g** is the gravity vector (i.e. the scalar g=9.8m/s² times the vector $[0,0,1]^T$), and **K** is the hydraulic conductivity tensor (calculated from Equations T2.1 to T2.3 in Table 2).

367 Different options are available to model the mechanical behavior of GHBS (e.g. Klar et al., 2010;
368 Santamarina & Rupple, 2010; Uchida, et al., 2012; Gai et al., 2107; Sánchez et al., 2017). Here we adopt
369 the simple elastic model that includes the dependence of Young's modulus on hydrate saturation
370 (Santamarina & Rupple, 2010):

371
$$E_{Sh} = \left[E_{Sed} + dE_{Sed} \left(\phi_0 - \phi \right) \right] \left(\frac{\sigma_C}{\sigma_{C0}} \right)^{b} + cE_{Hyd} \left(S_h \right)^{d}$$
(31)

(28)

- 372 where E_{Sh} , E_{Sed} , and E_{Hyd} are respectively Young's modulus of GHBS, of hydrate-free sediments (at the
- 373 reference porosity ϕ_0 and confining stress of σ_{C0} =1KPa) and of pure gas hydrate; dE_{Sed} accounts for the
- dependence of E_{Sed} on ϕ_0 ; b, c, and d control the sensitivity of E_{Sed} on the confining stress σ_c .

In addition to the above equations, and the retention curve presented in Equations (12) and (13), Table 2
summarizes the other essential constitutive equations.

377 5. Model Applications

378 In this Section we apply the new model to solve different problems, as follows. In Section 5.1 we validate 379 the pseudo-kinetic model by comparing the experimental results of the tests conducted on synthetic gas hydrate samples reported by Kim et al. (1987), with the outputs of models replicating these tests 380 381 conditions. Section 5.2 is related to the evaluation of the model considering P-T paths that covered a variety of phase transformations. The model validation reported in Section 5.3 compares model and 382 383 experimental results from a scaled gas production test on a natural GHBS specimen. In Section 5.4 we evaluate the model performance for long term conditions associated with the gas hydrate and ice 384 385 formation in the permafrost. The application cases cover both short (hours) and long $(2x10^6 \text{ years})$ 386 timescales.

387 5.1. Pseudo-kinetic model validation

388 To validate the proposed pseudo-kinetic model, we use the experimental results reported in Kim et al. 389 (1987) and described above (Figures 3 and 4). Our numerical models attempt to reproduce the test set-up 390 based on the initial conditions (i.e. $S_h = 0.03$ and $S_l = 0.97$) and the evolution of pressure at the imposed 391 constant temperatures (Kim et al., 1987). The first set of experiments (Figure 3) were carried out at two 392 different constant temperatures (T = 274.2K; and T = 279.0K) to investigate the effects of pressure 393 gradient (i.e. ΔP respect to $P_{eq,h}$) on hydrate dissociation time. Figure 6 shows the experimental times 394 required to achieve full hydrate dissociation for each depressurization experiment, together with the 395 corresponding times computed with our model for those tests. According to Kim et al. (1987), the slight scatter observed in the experimental results is associated with small temperature changes during hydrate 396 397 dissociation (some experiments were discarded due to experimental problems). The pseudo-kinetic model 398 is able to satisfactorily capture both the effect of pressure-gradient and temperature on the final hydrate 399 dissociation time.

400 In the second set of experimental results from Kim et al. (1987) (Figure 4) the time evolution of hydrate 401 dissociation was reported at two different constant temperatures (T = 279.2K and T = 283.3K). The 402 experimental results are shown in Figure 7 together with our model results (from Equation 11). The 403 pseudo-kinetic model captures the differences in hydrate dissociation rates observed in these experiments. 404 It performs particularly well at the beginning of the tests but exhibits a slight discrepancy between 405 simulations and experiments at low saturations for T = 279.2K (Series_3). The dashed lines in Figure 7 406 show additional model results based on a slight variation of Equation (11) to account for the apparent 407 dependence of gas hydrate dissociation rate on hydrate saturation. The modified law is:

408
$$S_h^{i+1} = S_h^i \left(1 - \Gamma_h dt \right)$$
 (32)

The revised model closely captures the non-linear behavior observed in the experiments, and can be useful for those cases in which a precise modeling of gas hydrate dissociation is required at low S_h . However, our aim here is not to perfectly match these experiments, but to show the flexibility of the proposed approach to capture complex features of gas hydrate dissociation. Alternative equations can be proposed as required based on the proposed framework. The model results presented in Figures 6 and 7 were obtained with a q_{hd} =0.999 (Equation 7). This constant together with Equations (7) and (11) are used in all the simulations presented in the following sections.

416 5.2. *Model evaluation using synthetic cases*

417 Having validated the pseudo-kinetic model, we propose a number of synthetic cases to evaluate its ability to deal with different P-T paths that involve phase transformations. We assume sediment placed within a 418 419 chamber where different initial and boundary conditions can be controlled. The synthetic cases are solved 420 at point level (i.e. at gauss integration level in the context of a finite element code), by imposing 421 controlled changes of fluid pressures and/or temperature. This type of analysis is important because it allows checks on the algorithm used at local (element) level during the numerical integration. The initial 422 porosity of the specimen is $\phi = 0.33$ and the intrinsic (isotropic) permeability $k_0 = 1.0 \times 10^{-12} \text{m}^2$ (at ϕ_0). 423 Equation (29) controls the thermal conductivity with $\beta' = -0.2$. Parameters $P_0 = 0.1$ MPa, and m = 0.5 are 424 425 adopted for the capillary curve (Equation 12). We assume a poroelastic sediment according to Equation (31), with $E_{Hvd} = 1.35$ GPa, $E_{Sed} = 0.03$ GPa, b = 0, c = 1, and d = 1. The selected cases cover different 426 initial phase saturations and *P*-*T* trajectories, as described below. 427

First, we simulate two cases of hydrate dissociation by depressurization from an initial sediment (lying in stability Zone B) until atmospheric pressure stabilizes. As this is a point level analysis, the gas is ejected just after hydrate dissociation, therefore the capillary pressure remains close to zero. Case I involves an initial pressure and temperature of $P_i = 13$ MPa and $T_i = 287.65$ K, with initial saturations of $S_{hi} = 0.25$ and $S_{li} = 0.75$, under excess water conditions. We assume an adiabatic system (i.e. there is no heat exchange between the vessel containing the sediment and the surroundings). Figure 8a presents the initial P-Tconditions and saturations and their changes during the hydrate dissociation process for Case I. The P-T

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435 trajectory moves vertically down to meet and then follow the phase boundary. The temperature reduces 436 during hydrate dissociation due to the endothermic character of the reaction. At $T_f = 274.69$ K all hydrate dissociates, the P-T path leaves the phase boundary, and pressure decreases until $P_f = 0.1$ MPa with no 437 variations in sediment temperature. Case II assumes an initially cooler sediment, $T_i = 277.15$ K (Figure 438 439 8b). During hydrate dissociation under these conditions, the P-T path crosses the ice/liquid-water phase boundary and liquid water freezes with a corresponding increase of S_i and decrease of S_l . The ice/liquid-440 water phase change is exothermic and heating assists the hydrate dissociation and impacts the S_h slope in 441 442 the *P*-*T* plane. Upon completing hydrate dissociation, the cooling associated with this reaction finishes. 443 Heating associated with ice formation warms the sediment, the P-T path leaves the hydrate phase 444 boundary and follows the ice/liquid-water phase boundary, finishing at atmospheric pressure and a final 445 temperature of $T_f \sim 272.5$ K.

These results show that the proposed approach is able to deal with the complex interactions and phase changes associated with the synthetic cases. It is worth mentioning that the same type of behavior in the P-T plane was observed in a depressurization test conducted under controlled conditions on a natural methane hydrate bearing core from the Krishna Godavari Basin (Yun et al., 2010).

450 We also consider two cases involving hydrate formation with trajectories from Zones A to B. Case III 451 involves hydrate formation starting with the following initial pressure and temperature: $P_i = 15$ MPa and T_i 452 = 293.15K. We assume an initial stress σ_0 = 15MPa. Hydrate forms by cooling the sediment to a final 453 temperature $T_f = 275.15$ K, while impermeable boundaries are assumed, so that pore pressure is not 454 constant during the experiment. An excess water condition is assumed considering initial saturations for 455 the liquid and gas phases equal to 0.5 (i.e. $S_l = S_g = 0.50$). Hydrate starts to form when the *P*-*T* path meets 456 the hydrate phase boundary (Figure 9a), and continue forming progressively as the trajectory follows the 457 phase boundary until all available methane gas is consumed. A decrease of the fluid pressure takes place during this process, from $P_i = 15$ MPa to $P_f = 8.73$ MPa. Under these conditions, the final saturations are: S_h 458 459 ~ 0.42 and S_l ~ 0.58.

460 In Case IV the initial conditions and saturations are similar to Case III, but pore pressure is kept constant 461 during the experiment (i.e. pure water and/or methane could enter or leave the vessel to ensure that the liquid and/or gas pressure remains constant). Figure 9b presents the initial P-T conditions, phase 462 saturations, and their changes during the formation process for Case IV. Hydrate formation occurs when 463 464 the P-T path crosses the phase boundary (i.e. moving from Zones A to B), hydrate forms at this point consuming all available methane. Cooling continues afterwards without phase transformations, with 465 saturations of $S_h \sim 0.30$ and $S_l \sim 0.70$. In Case IV effective stresses remain constant (i.e. no changes in pore 466 467 pressure) and therefore porosity does not change during the test. However, in Case III, the sediment 468 contracts (i.e. $\phi_f \sim 0.21$), because the effective stresses increase with the specimen depressurization that 469 takes place during hydrate formation.

470 5.3. Modeling a dissociation test from a gas hydrate bearing core

To further validate the pseudo-kinetic model, we simulate a dissociation experiment involving natural GHBS. The specimen was acquired from the Ulleung Basin using the instrumented pressure testing chamber (IPTC), which maintained a good quality specimen at 4°C and 15MPa (Yun et al., 2011). A specimen 822mm length and 50mm diameter was subsampled under pressure to simulate depressurization induced gas production (Yun et al., 2011). Table 3 lists the index properties of this sediment.

476 Figure 10 illustrates the IPTC containing the GHBS, the ball-valve, and the thermocouple. Controlled depressurization of the core involved a gradual reduction of hydrostatic pressure at an average rate of 477 478 0.146MPa/min for 50min, until it reached the methane hydrate stability phase boundary. This rate was 479 reduced afterwards, and maintained for 130min at ~ 0.0115MPa/min, until reaching P = 3.2MPa. The valve was then closed for around 8hours (from t = 180min to t = 650min), during which time the 480 temperature remained constant at 1.2°C, while there was a slight rebound in pressure from 3.2MPa to 481 482 3.7MPa. Depressurization subsequently continued by opening the valve from t = 650min to t = 830min 483 until reaching atmospheric pressure. The temperature was continuously monitored during controlled 484 depressurization by a thermocouple located 422mm from the valve.

485 A 2-D axisymmetric finite element model consisting of 750 elements was adopted to represent the cylindrical sample. The initial conditions are comparable with those reported by Yun et al. (2011), 486 namely: P = 12MPa, T = 3.5°C, $S_h = 0.195$, $\phi = 0.75$, and an intrinsic (isotropic) permeability with $k_0 =$ 487 0.5x10⁻¹³m². An impermeable flow boundary around the shell was assumed except at the ball-valve 488 489 position, where the depressurization rates discussed above were imposed. Furthermore, we adopted Equation (29) for the thermal conductivity with $\beta' = -0.2$; Equation (13) for the capillary pressure with 490 parameters $P_0 = 0.1$ MPa, $m_{bc} = 1.2$, $C_1 = 3$, and $C_2 = 2$. The methane hydrate phase boundary (Equation 3) 491 492 was assumed with a salt concentration = 2.05wt%. As for the mechanical model (Equation 31), the following parameters were used: $E_{Hyd} = 1.35$ GPa, $E_{Sed} = 0.03$ GPa (Waite et al., 2009), b = 0, c = 1, and d493 494 = 1. We considered the relative permeability for liquid and gas phase by adopting Equation (T2.3) with a 495 = 3 and *b* = 3.

Figure 11a shows the evolution of the experimental and simulated P-T trajectories. The endothermic behavior of hydrate dissociation is captured by the model and shows a sharp change of the P-T path trajectory upon meeting the methane hydrate phase boundary. The heat consumed during hydrate dissociation induces a significant cooling of the sample and brings the P-T trajectory to the ice/liquid-

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water boundary. Once hydrate dissociation is complete, the path leaves the phase boundary and 500 501 temperature increases due to both ambient heat and the exothermic character of ice formation. Figure 11b presents the experimental and simulated pressure evolution. The model captures the experimental 502 depressurization rates mentioned above, as well as the slight pressure rebound between t = 180min to t =503 504 650min (induced by the closed valve condition). Figure 11c compares experimental and modelled gas 505 productions and shows the pseudo-kinetic model to accurately simulate the maximum amount of 506 produced gas. The evolution of temperature during the experiment is also accurately simulated (Figure 507 11d).

508 5.4. Modeling gas hydrate and ice formation in permafrost settings

After applying the proposed approach to solve short-term rates of gas hydrate dissociation, we here present a long-term 1-D simulation that involves a complex P-T trajectory associated with the formation of gas-methane hydrate and ice in permafrost settings. The 1-D analysis is based on published data from the Mount Ebert site, on the Alaska North Slope, which is briefly summarized below.

- 513 Dai et al. (2011) reconstructed the evolution of ground surface elevation (GSE), ground surface 514 temperature (GST), the gas hydrate stability zone (GHSZ), and the base of ice bearing permafrost (BIPF) 515 at the Mount Elbert site (Figure 12). The study was based on available data on surface and subsurface 516 temperatures, and stratigraphy and geology from well logs and regional data (see Dai et al., 2011). It was 517 assumed that continuous permafrost formed when the mean annual ground surface temperature was below 518 -5°C and the temperature at the BIPF was -1°C. A linear geothermal gradient of 1.64°C/100m and 519 3.56°C/100m are considered above the BIPF and below the permafrost, respectively (Lachenbruch et al., 520 1982; Collett et al., 1988; Collet, 1993). The fluid pressure is hydrostatic and the water table was assumed 521 to be at GSE. Two main formations with high S_h (i.e. 60-75%) were identified in this area: Unit D (depth 522 ~ 614-628m), and a deeper Unit C (depth ~ 650-666m) (Moridis et al., 2011).
- Our simulation is based on the conditions in Unit C (Figure 13). We adopt an intrinsic (isotropic) 523 sediment permeability of $k_0 = 1.0 \times 10^{-12} \text{m}^2$ (at $\phi_0 = 0.35$) (Anderson et al, 2011); Equation (29) for the 524 thermal conductivity with $\beta' = -0.2$; Equation (10) for the capillary pressure with parameters $P_0 =$ 525 526 0.1MPa, m = 0.5, and Equation (9) for establishing the rate of ice transition with $q_i = 0.99$. The hydrostatic 527 pressure is calculated based on the GSE; and the temperature evolution at this level is estimated based on 528 both the BIPF/GST and the corresponding geothermal gradients from Dai et al. (2011). According to 529 Winters et al. (2011), the presence of highly permeable sediments within the gas hydrate stability zone is essential for the formation of GHBS. Two possible scenarios were proposed to explain the presence of 530 gas hydrate in these layers (Dai et al., 2011): i) pre-existing gas reservoirs were transformed into GHBS 531 532 by favorable P-T conditions; ii) gas hydrate formed from biogenic gas or thermogenic gas that migrated

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533 into the stability zone from deeper strata. We adopt the second scenario and assume that the sediment is almost fully saturated ($S_l^* = 0.997$), with a small amount of methane in the pore space ($S_g^* = 0.003$), 534 maintained constant by controlling the capillary pressure in the modeling. The sediment is initially in 535 Zone A, with $P_i = 6.1$ MPa; $T_i = 22.43$ °C; $S_{hf} = S_{if} = 0.0$. The P-T changes depicted in Figure 13a are 536 537 imposed and hydrate starts to form when the P-T path meets the phase boundary as a result of a rise in 538 GSE and a reduction in GST. Hydrate formation continues under excess water conditions with a 539 progressive reduction of S_l (i.e. because the volume of voids is gradually occupied by hydrate). Under the assumed conditions, the model predicts a final $S_h \sim 0.63$, which is compatible with reported values 540 541 (Hunter et al., 2011; Kneafsey et al., 2011; Lee & Collet, 2011; Moridis et al., 2011). No ice forms under 542 the conditions assumed for this unit.

543 We also simulate conditions associated with ice formation within a shallower layer at 300m depth, within 544 which no gas hydrate was reported. The assumed pressure and temperature evolutions (Figure 14a) are 545 based on both the hydrostatic pressure from the GSE, and the geothermal gradients based on the GST (as 546 discussed above). In line with scenario i) discussed above (Dai et al., 2011), we consider pre-existing 547 methane gas and liquid water, $S_{li} = 0.7$; $S_{gi} = 0.3$. The analysis starts in stability Zone A (Figure 14b), with hydrate and ice not present. These assumptions are compatible with Figure 12 and show that at the 548 beginning of the analysis (i.e. ~ $2x10^6$ years ago), prevailing conditions were neither located inside the 549 550 GHSZ nor above the BIPF. The subsequent rise in GSE and reduction in GST provide favorable conditions for hydrate formation. When the P-T path meets the methane hydrate phase boundary (i.e. 551 Zones A to B, point 1, Figure 14b), hydrate forms by consuming the available methane and water. Since 552 553 the volume of methane hydrate formed is less than the total consumption of methane and water volumes, 554 liquid saturation increases as water from upper layers occupies the difference in volume. It is assumed 555 that the migration of methane gas from lower layers is prevented due to low permeability strata. Subsequent GST reductions cause the formation of permafrost (i.e. the P-T path from Zones B to D, point 556 557 2, Figure 14b) and expand the zone of methane hydrate stability by cooling deeper sediments. Although 558 there are subsequent fluctuations in the P-T path (because of variations in GST), the sediment remains in 559 Zone D, therefore no phase changes occur. Under these conditions, the model predicts the formation of 560 ice ($S_{if} \sim 0.94$) and only small amount of hydrate ($S_{hf} \sim 0.06$).

- 561 The simple cases presented above do not exactly replicate the Mount Elbert conditions, but confirm that
- 562 the pseudo-kinetic phase-change model is able to simulate, in a general manner, some of the scenarios
- 563 proposed to explain the presence of gas hydrate and ice in the different layers at this site.

564 6. Discussion

The kinetic model of Kim et al. (1987) and the pseudo-kinetic model, proposed in this work are both 565 empirical models, because most of the parameters are calibrated/determined from laboratory tests. For 566 567 example, the kinetic constant (k_d Equation 6) requires empirical determination of the parameter k_{do} . 568 Moreover, this parameter is not directly obtained from tests, but is calibrated from gas hydrate dissociation experiments. The activation energy (ΔE_a , Equation 6) is also obtained from experiments. The 569 570 Kim et al. (1987) kinetic model further requires estimation of the gas hydrate reaction surface area (A_{s}, A_{s}) 571 Equation 5), defined as the interface area between hydrate and surrounding phases, and requires 572 simplified assumptions to estimate the gas hydrate particle size and the related reaction surface area. As discussed in Sun & Mohanty (2006), estimation of the surface area remains contentious, and various 573 574 equations (some with additional constants that need to be determined) have been suggested for this 575 parameter (e.g. Yousif et al., 1991; Masuda et al., 2002; Moridis et al., 2005). There is no consensus on which of these equations is the most appropriate for analyses involving GHBS. Most of the parameters 576 577 discussed above have a physical meaning.

578 Our proposed pseudo-kinetic model has the advantage that only one constant (i.e. q_{hd} , Equation 7) needs 579 to be determined from experiments, as opposed to the three (plus) parameters required by the kinetic 580 model of Kim et al. (1987). Furthermore, the pseudo-kinetic model constant has a physical meaning, i.e. it controls the rate of gas hydrate dissociation. The pseudo-kinetic model works on the *P*-*T* plane, the space 581 582 generally adopted to analyze problems involving gas hydrate formation and dissociation, and is easy to implement in numerical codes. It is worth noting that GHBS numerical codes are generally formulated in 583 584 terms of pressure (or saturation) and temperature. Whereas the kinetic model of Kim et al. (1987) is based 585 on fugacity and temperature and so requires additional calculations to transform pressure to fugacity. In 586 contrast, the proposed pseudo-kinetic model is directly expressed in terms of pressure and temperature, 587 with associated saving in computational time.

To capture better the experimentally observed dependence of gas hydrate dissociation rate on hydrate saturation (particularly at low S_h), we proposed a slight modification of the pseudo-kinetic model to include a dependence on S_h (Equation 32, Section 5.1). Interestingly, to improve on the kinetic law proposed by Kim et al. (1987), subsequent models have also incorporated S_h in their formulations (e.g. Yousif et al., 1991; Masuda et al., 2002; Moridis et al., 2005; Sun & Mohanty, 2006), particularly when calculating A_s .

594 The kinetic model of Kim et al. (1987) was based on experimental results from gas hydrate dissociation 595 under different thermodynamic conditions. The proposed pseudo-kinetic model, implemented in a full 596 coupled THCM finite element code, was validated against these same experimental results and then

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further evaluated against a variety of synthetic cases. The synthetic cases covered several *P-T* trajectories and demonstrated the ability of the pseudo-kinetic model to deal with phase transformations under a range of different conditions involving GHBS. In addition, simulations of results from natural gas hydrate, both over the short-term (14hours, scale methane production test of a GHBS core offshore Korea) and longterm ($2x10^6$ years, modeling of methane hydrate and ice formation in a permafrost setting in Alaska), demonstrate the ability of the pseudo-kinetic approach to reproduce phase transformations in problems involving very different time scales, in which either kinetic or equilibrium conditions are dominant.

604 We propose specific functions (Equations 7, 9, and 32) to model phase changes in GHBS, which may be 605 modified in future as necessary. However, the main idea advanced in this work is to express the rate of 606 phase transformation as a function of the distance between the current state and the phase boundary. We 607 have shown that this concept can be used to satisfactorily model both gas hydrate formation/dissociation 608 and ice formation/thawing, illustrating the consistency of the proposed pseudo-kinetic approach. We have 609 evaluated the model by solving problems mainly involving pressure and temperature perturbations. 610 Further studies should be conducted to investigate other effects in GHBS phase transformations, such as 611 geo-chemical interactions.

612 7. Conclusions

613 Based on previously published experimental evidence, we propose a new model for gas hydrate 614 dissociation that relates the rate of gas hydrate dissociation to the distance between the current state and the phase boundary in P-T space. The model incorporates only one parameter that is easily calibrated 615 616 from laboratory tests and controls the rate of gas hydrate dissociation. This is a clear advantage with 617 respect to the kinetic model that is currently used to simulate gas hydrate dissociation, which requires the 618 determination of multiple parameters and constants (generally 3+). The proposed approach can be used to 619 simulate gas hydrate formation/dissociation, as well as ice formation/thawing. As the structure of the model is simple and is formulated in the *P*-*T* space, its implementation in numerical simulators for GHBS 620 621 is relatively easy and cost-effective.

622 The proposed pseudo-kinetic model was implemented in a fully coupled THCM finite element code and 623 applied to simulate different problems involving GHBS, including synthetic cases as well as data 624 available on the behavior of marine gas hydrate over short timescales, and permafrost gas hydrate over long timescales. The performance of the pseudo-kinetic model in all cases was satisfactory and 625 demonstrates its applicability to a wide range of P-T trajectories. We argue that the pseudo-kinetic model 626 provides a simple and powerful alternative to the kinetic model to simulate phase changes in GHBS. 627 628 Future studies should investigate how the model handles the effect of chemical interactions (e.g. water 629 salinity) on rates of methane hydrate dissociation.

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Species and	Specific	e Energy
Phases	Expression	specific heat - latent heat
water - vapour	$e_g^w = L_{evap} + c_{wv} \left(T - T_o\right)$	L_{evap} = 2257 J.g ⁻¹ c_{wv} = 2.1 J.g ⁻¹ K ⁻¹
water - liquid	$e_{w} = c_{wl} \left(T - T_{o} \right)$	$c_{wl} = 4.2 \text{ J.g}^{-1} \text{K}^{-1}$
water – ice	$e_{i\alpha} = L_{fuse} + c_{wix} \left(T - T_o \right)$	$L_{fuse} = 334 \text{ J.g}^{-1}$ $c_{wice} = 2.1 \text{ J.g}^{-1}\text{K}^{-1}$
methane gas	$e_m = c_m \left(T - T_o \right)$	$c_m = 1.9 \text{ J.g}^{-1} \text{K}^{-1} \text{ V=const}$ $c_m = 2.5 \text{ J.g}^{-1} \text{K}^{-1} \text{ P=const}$
hydrate ⁽¹⁾	$e_b = L_{diss} + c_b \left(T - T_a \right)$	$L_{diss} = 339 \text{ J.g}^{-1}$ $c_h = 2.1 \text{ J.g}^{-1} \text{K}^{-1}$
mineral	$e_s = c_s \left(T - T_o \right)$	$c_s = 0.7 \text{ J.g}^{-1}\text{K}^{-1} \text{ quartz}$ $c_s = 0.8 \text{ J.g}^{-1}\text{K}^{-1} \text{ calcite}$

 Table 1. Specific Energy and Thermal Transport – Selected Representative Values*

*Table from Sanchez & Santamarina (2016).

Source: CRC handbook and other general databases. (1) Waite, http://woodshole.er.usgs.gov/operations/hi_fi/index.html; Handa 1986.

Note: the sign of the latent heat is adopted to capture endothermic-exothermic effects during phase transformation.

Description	constitutive equations use	Equation	
The hydraulic conductivity.	$\mathbf{K}_{\beta} = \mathbf{k} \frac{\mathbf{k}_{r\beta}}{\mu_{\beta}};$	$\beta = \ell, g$	(T2.1)
The intrinsic permeability, (Minagawa et al. 2008).	$\mathbf{k} = \mathbf{k}_0 \frac{\boldsymbol{\phi}^3}{\left(1 - \boldsymbol{\phi}\right)^2} \frac{\left(1 - \boldsymbol{\phi}_0\right)^2}{\boldsymbol{\phi}_0^3}$	$(1 - S_h - S_i)^N$	(T2.2)
The relative permeability for liquid/ gas phase.	$k_{r\ell} = \left(\frac{S_{\ell}}{S_{\ell} + S_g}\right)^a = \left(S_{\ell}^*\right)^a$	$k_{rg} = \left(1 - \frac{S_{\ell}}{S_{\ell} + S_{g}}\right)^{b} = \left(1 - S_{\ell}^{*}\right)^{b}$	(T2.3)
Non-advective flux; the Fick's law.	$i_{\beta}^{j}=-\boldsymbol{D}_{\beta}^{j}\boldsymbol{\nabla}\boldsymbol{\theta}_{\beta}^{j};$	$\beta = \ell, g ; j = m, w$	(T2.4)
The viscosity of the liquid phase, (Olivella, 1994).	μ_{ℓ} [Pa.s] = 2.1 · 10 ⁻⁶ e	$xp\left(\frac{1808.5 \text{ K}}{\text{T}}\right)$	(T2.5)
The viscosity of the gas phase, (Younglove & Ely, 1987).	μ_{g} [Pa.s] = 10.3 · 10 ⁻⁶	$\left[1+0.053\frac{P_g}{MPa}\left(\frac{280 \mathrm{K}}{\mathrm{T}}\right)^3\right]$	(T2.6)
The mass of dissolved methane per unit volume of liquid phase; the Henry's law.	$\boldsymbol{\theta}_{l}^{m} = \frac{P_{m}}{H} \frac{M_{m}}{M_{w}} \boldsymbol{\rho}_{l}$		(T2.7)
The mass of vapor water per unit volume of gas phase; Psychometric law.	$\boldsymbol{\theta}_{g}^{w} = \left(\boldsymbol{\theta}_{g}^{w}\right)^{0} \exp\left(\frac{P_{C}M_{w}}{RT\boldsymbol{\rho}_{l}}\right)$		(T2.8)
• •	$\boldsymbol{\theta}_{g}^{w} = \left(\boldsymbol{\theta}_{g}^{w}\right)^{\vee} \exp\left(\frac{1_{C}1\mathbf{v}_{w}}{RT\boldsymbol{\rho}_{i}}\right)$		(T2.8)

Table 2. Constitutive equations used in the THCM model.

Properties	Values	Device/Technique
Specific gravity, G _s	2.57	ASTM D854
Specific surface (S_a , m^2/g)	31	N ₂ adsorption
Clay content (%)	12	Less than 2 μm
Liquid limit (w_L , %)	115	ASTM D4318
Plastic limit (w_P , %)	65	ASTM D4318
Plastic index $(w_L - w_P)$	50	
Soil classification	OH or MH	USCS system

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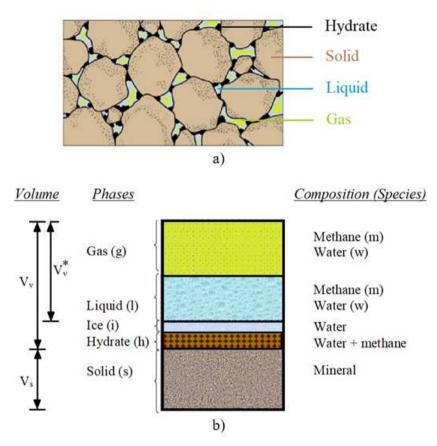


Figure 1. Gas hydrate bearing sediments: a) sketch illustrating the 4 phases typically found in GHBS; and b) schematic representation showing how the 3 species can be encountered in the 5 possible phases considered in this work.

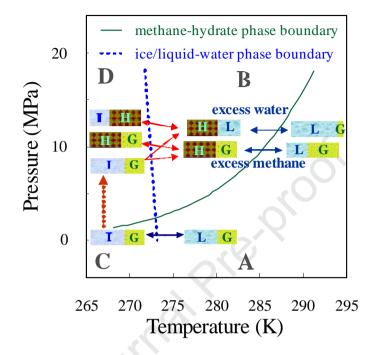


Figure 2. Phase boundaries for water-methane mixtures (based on Eqs. 3 and 4) in the *P*-*T* space showing the four regions (A, B, C, and D, where relevant phases are stable) emerging when the methane-hydrate and ice/liquid-water phase boundaries are superimposed. Ice phase (I) is stable on the left side of ice/liquid-water phase boundary (Zones C and D). Hydrate phase (H) is stable above the methane-hydrate phase boundary (Zones B and D). Hydrate forms upon coexistence of both liquid (L) and gas (G) phases in stable Zone B and it can be either under excess water conditions (i.e., for a given CH₄ mass the H₂0 available is \geq than the required mass to form the hydrate), or under excess methane conditions (i.e. for a given H₂0 mass the CH₄ available is > than the required mass to form hydrate). Phases are instable out of their stability zones and engage in phase transformations.

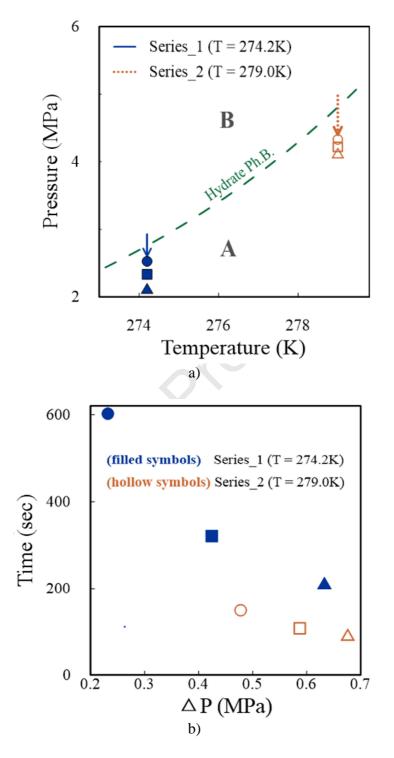


Figure 3. Results from Kim et al. (1987) hydrate dissociation experiments involving depressurization under different pressure gradients (ΔP) at two different constant temperatures: a) *P*-*T* trajectory moves from stable Zone B to unstable Zone A, leading to hydrate dissociation (each symbol stands for one experiment and shows the induced pressure, Ph.B.: phase

boundary); b) time to achieve complete hydrate dissociation for each experiment for different ΔP values.

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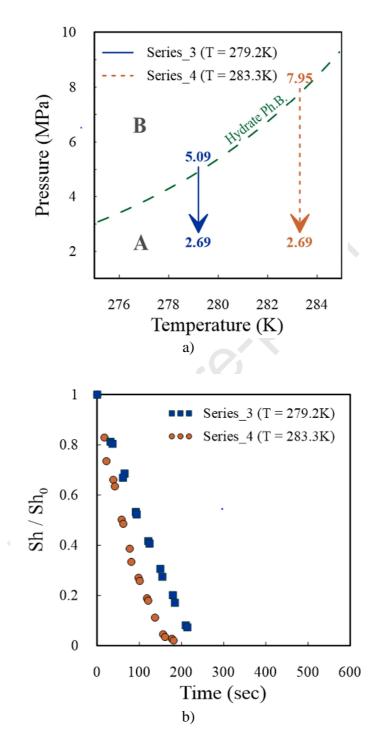


Figure 4. Results from Kim et al. (1987) hydrate dissociation experiments involving depressurization at two different constant temperatures: a) *P*-*T* paths move from stable Zone B to unstable Zone A leading to hydrate dissociation, b) time evolution of hydrate saturation due to fast depressurizations, the vertical axis shows the ratio of hydrate saturation to its initial value.

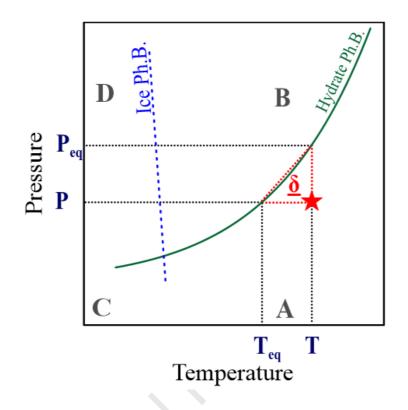


Figure 5. Example of an unstable state in the *P*-*T* plane with respect to the hydrate phase boundary. The rate of change for an unstable phase (in this case hydrate) is a function of the distance $(\underline{\delta})$ between the phase boundary (in this case, hydrate phase boundary) and the current *P*-*T* state (\bigstar).

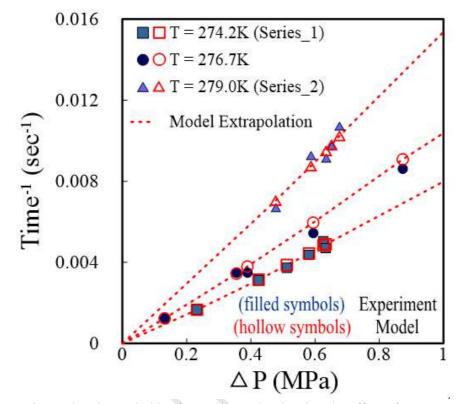


Figure 6. Experimental and pseudo-kinetic model results showing the effect of pressure gradient (ΔP) on the rate of hydrate dissociation at three different constant temperatures. The vertical axis shows the reciprocal of the time at which fully hydrate dissociation was achieved. The dashed lines join the results of tests conducted at the same temperature. The points along the dashed lines correspond to the times at which full hydrate dissociation was achieved under different pressure gradients and at three different temperatures. Experimental data from Kim et al. (1987).

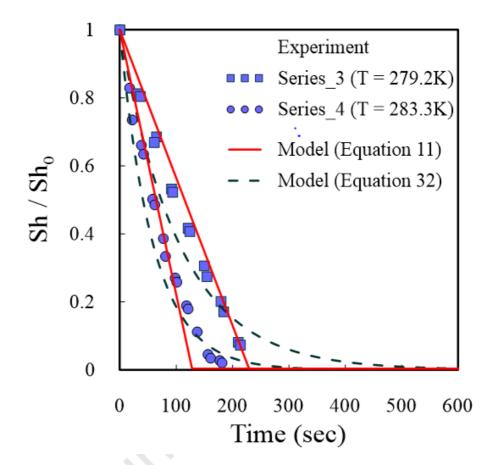


Figure 7. Experimental and pseudo-kinetic model results from hydrate dissociation tests involving depressurization at two different constant temperatures. The solid and dashed lines were obtained after reducing the outputs from numerical simulations based on Eqs. (11) and (32). The vertical axis shows the ratio of hydrate saturation to its initial value. Experimental data from Kim et al. (1987).

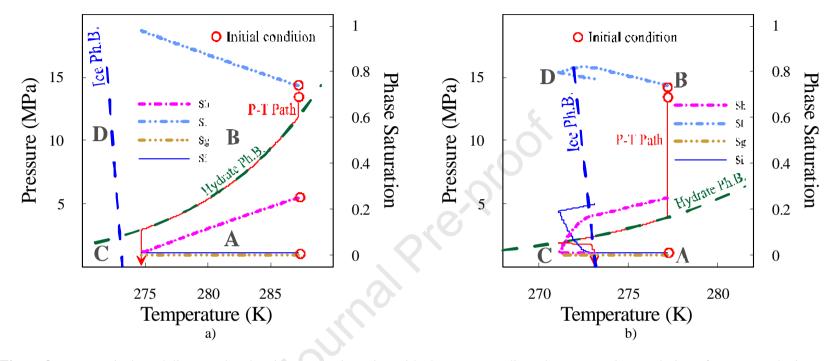


Figure 8. Numerical modeling results showing *P*-*T* trajectories with the corresponding phase saturation evolutions for two synthetic cases involving hydrate dissociation triggered by depressurization. Both cases start in Zone B with the same initial pressure and phase saturations, but at two different initial temperatures: a) Case I, T_i =287.65K; and b) Case II, T_i =277.15K. In both cases the *P*-*T* paths move towards the left on reaching the phase boundary (because hydrate dissociation is endothermic) with the corresponding S_h (violet line) decrease and S_l (light-blue line) increase (gas is ejected). In Case II (lower T_i) the *P*-*T* path crosses the ice/liquid-water phase boundary, with the corresponding increase in S_i (dark-blue line) and the reduction in S_l (light-blue line).

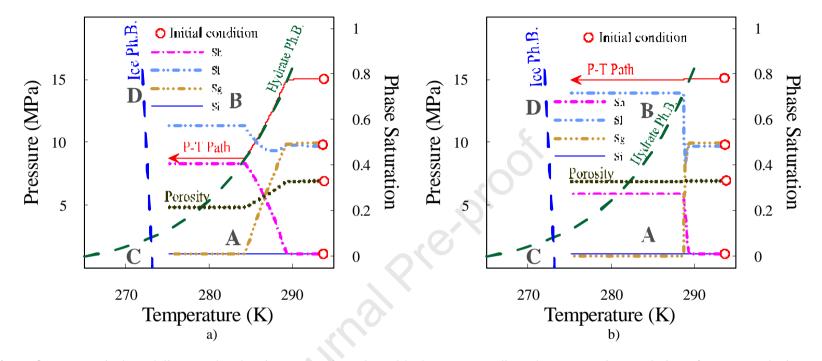


Figure 9. Numerical modeling results showing *P*-*T* trajectories with the corresponding phase saturation evolutions for two synthetic cases involving hydrate formation by cooling under excess water conditions. Both cases start in Zone A, with the same initial pressure and phase saturations: a) in Case III (i.e., a closed system) the cooling leads to a *P* reduction because the *P*-*T* path follows the hydrate phase boundary with a progressive hydrate formation (violet line), methane consumption (brown line), and S_l (light blue) increase as gas vanishes; b) in Case IV hydrate forms and methane consumes upon the *P*-*T* trajectory meeting the hydrate phase boundary during the cooling at constant *P*.



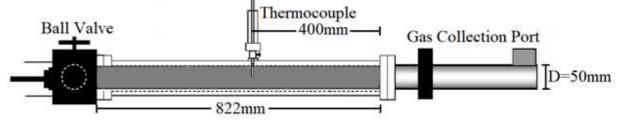


Figure 10. Schematic view of a GHBS specimen (10B-17P), indicating the position of ball-valve, and the thermocouple (Yun et al., 2011).

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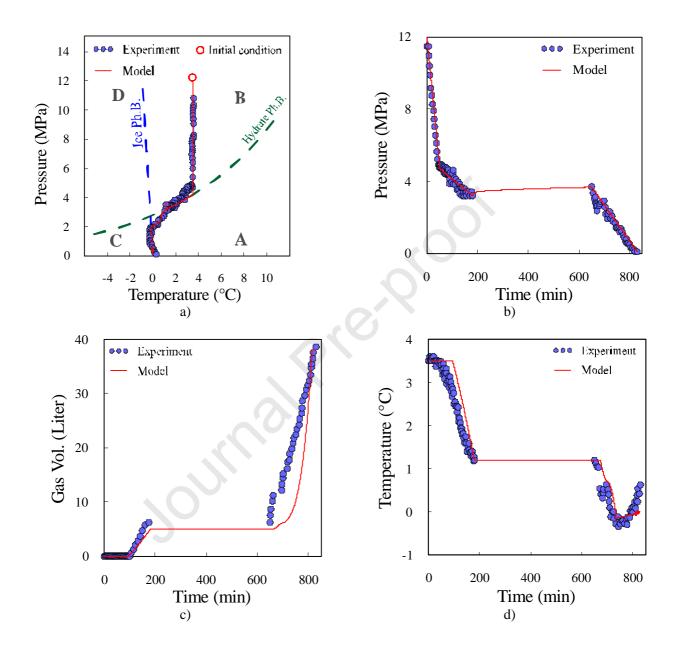


Figure 11. Depressurization-triggered gas production test results (symbols) from a natural GHBS core acquired offshore Korean (Yun et al., 2011) together with the numerical model outputs (line): a) the *P*-*T* path moves down at (almost) constant temperature during the depressurization in the hydrate stability zone and then diverges left on reaching the phase boundary during hydrate dissociation (because hydrate dissociation is endothermic), b) pressure reduction during the test, c) gas production during the venting experiment, and d) temperature time evolution. A slight pressure rebound was observed while the valve was closed between minutes 180 and 650 (Yun et al., 2011), feature that is properly capture by the numerical model.

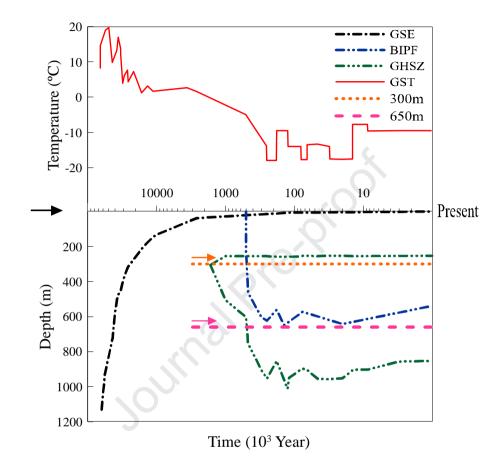


Figure 12. Time evolution of ground surface elevation (GSE), ground surface temperature (GST), base of ice bearing permafrost (BIPF), and the gas hydrate stability zone (GHSZ) at Mount Elbert site, Alaska North Slope region based on historical data (Dai et al. 2011). The depth is based on the current ground surface. The orange dotted and pink dashed lines indicate the conditions at the two target depths (i.e., 300m and 650m, respectively) for the two models (this study) with respect to the GHSZ and BIPF.

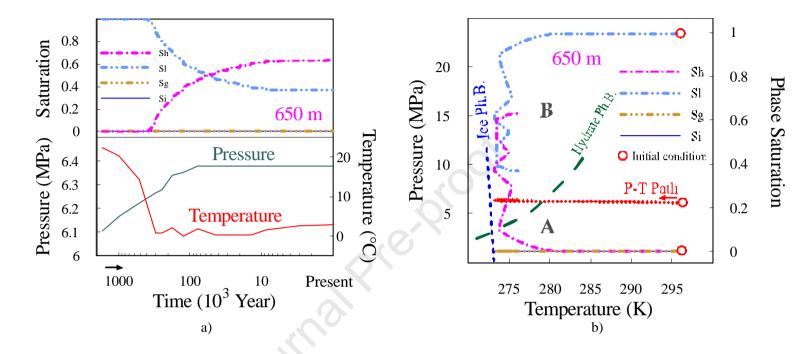


Figure 13. a) Bottom: time evolution of pressure and temperature at 650m depth (Unit C) at the Mount Elbert site, Alaska North Slope region, from Dai et al. (2011) and modeling results based on hydrostatic pore pressure from the water table at ground surface elevation and linear geothermal gradients, respectively. Top: model time evolution (current time t=0) of phase saturations associated with P and T evolutions at the corresponding depth, showing continuous hydrate formation upon continuous gas migration from under burden layers; and b) predicted evolution of phase saturations associated with the adopted P-T trajectory at 650m depth (Unit C) showing hydrate formation (i.e., from Zones A to B).

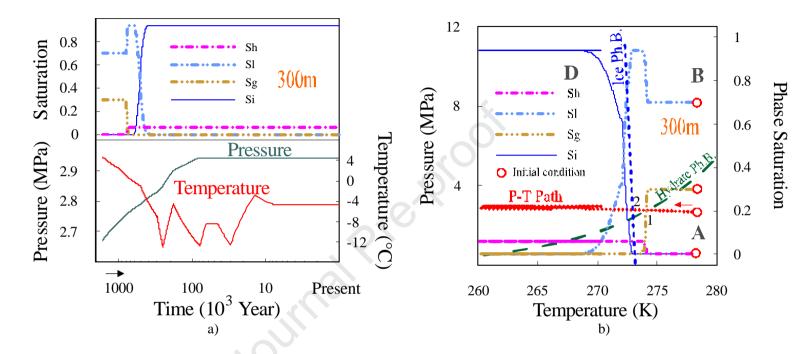


Figure 14. a) Bottom: time evolution of pressure and temperature at 300m depth at the Mount Elbert Site, Alaska North Slope region, from Dai et al. (2011) and modeling results based on hydrostatic pore pressure from the water table at ground surface elevation and linear geothermal gradients, respectively. Top: model time evolution (current time t=0) of phase saturations associated with *P* and *T* evolutions at the corresponding depth, hydrate formation is limited by the available methane gas (methane migration from lower layers is limited by low permeability strata); ice forms when temperature reduces below $-1^{\circ}C$ (i.e., the temperature at the ice bearing permafrost); b) predicted evolution of phase saturations associated with the adopted *P-T* trajectory at 300m depth showing limited hydrate formation (i.e., from Zones A to B), and ice formation (i.e., from Zones B to D).

- 1. A pseudo-kinetic model to simulate phase changes in gas hydrate bearing sediments is proposed.
- 2. The model is formulated in pressure-temperature (P-T) space and consists of one parameter determined from gas hydrate dissociation tests.
- 3. The model is validated using published dissociation tests on synthetic and natural gas hydrate.
- 4. The model is shown to successfully simulate cases involving different *P*-*T* paths and conditions.
- 5. The model is able to deal with a wide range of time scales (from hours to millions of years).

Declaration of interests

 \boxtimes The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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